

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Frank HENGLEIN et al.

Date: February 2, 2011

Patent No.: 7,828,890

Issued: November 9, 2010

For: **EFFECT PIGMENTS HAVING AN ALUMINUM ALLOY CORE, PROCESS  
FOR THE PRODUCTION THEREOF AND USE THEREOF**

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**VIA EFS-WEB**

Certificate of Correction Branch.

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION**

Sir:

Pursuant to 37 C.F.R. § 1.322(a), it is requested that the above-identified patent be corrected as follows:

Item (54) should read:

**EFFECT PIGMENTS HAVING AN ALUMINUM OR ALUMINUM ALLOY CORE,  
PROCESS FOR THE PRODUCTION THEREOF AND USE THEREOF**

Item (73) Assignee should read:

Eckart GmbH (DE)

A Certificate of Correction (Form PTO/SB/44) is enclosed.

Correction of the error regarding the title of the patent is respectfully requested. Support for this correction is found in the attached copy of the Declaration and Power of Attorney, originally filed on May 19, 2006, for this patent.

Correction of the error regarding the Assignee is respectfully requested. Support for this correction is found in the attached copy of the Notice of Recordation of Assignment Document - Change of Name, recorded on May 5, 2008, for this patent.

Credit card payment in the amount of \$100.00 is enclosed. Should any additional fee be required to issue the Certificate of Correction, please make the charge to our Deposit Account No. 15-0700.

Issuance of the Certificate of Correction is respectfully requested.

THIS CORRESPONDENCE IS BEING  
SUBMITTED ELECTRONICALLY  
THROUGH THE UNITED STATES  
PATENT AND TRADEMARK OFFICE  
EFS FILING SYSTEM  
ON FEBRUARY 2, 2011

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kourosh S. Salehi", written over a horizontal line.

Kourosh Salehi

Registration No.: 43,898

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

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RCF:KS/jl

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO : 7,828,890

DATED : November 9, 2010

INVENTOR(S) : Frank Henglein, Hermann Birner and Michael Grüner

It is certified that error appears in the above identified patent and that said Letters Patent is

(54) EFFECT PIGMENTS HAVING AN ALUMINUM OR ALUMINUM ALLOY CORE,  
PROCESS FOR THE PRODUCTION THEREOF AND USE THEREOF

(73) Assignee: Eckart GmbH (DE)

MAILING ADDRESS OF SENDER:

PATENT NO. 7,828,890

Ostrolenk Faber LLP  
1180 Avenue of the Americas



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New York, NY 10036-8403

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**EFFECT PIGMENTS COMPRISING AN ALUMINIUM CORE OR AN ALUMINIUM ALLOY CORE, METHOD FOR THE PRODUCTION AND USE THEREOF**

the specification of which is attached hereto, unless the following box is checked:

X was filed on 19 November 2004 as United States patent Application Number or PCT International patent application number PCT/EP2004/013173 and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign or Provisional Application(s)**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Germany	103 54 763.0	21 November 2003	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Max Moskowitz - Reg. No. 30,576; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625, and Douglas A. Miro, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP DIRECT TELEPHONE CALLS TO:  
1180 AVENUE OF THE AMERICAS (212) 382-0700  
NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Frank HENGLEIN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Nürnberg, Germany		COUNTRY OF CITIZENSHIP Germany	
POST OFFICE ADDRESS Virchowstrasse 17, 90409, Nürnberg, Germany			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Hermann BIRNER		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Sulzbach-Rosenberg, Germany		COUNTRY OF CITIZENSHIP Germany	
POST OFFICE ADDRESS Drosselweg 2, 92237, Sulzbach-Rosenberg, Germany			

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**COPY**





MAY - 6 2008

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



\*500303628\*

MAY 05, 2008

PTAS

HOFFMANN & BARON, LLP  
6900 JERICHO TURNPIKE  
SYOSSET, NY 11791-4407

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/05/2008

REEL/FRAME: 020897/0231  
NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 182-0

ASSIGNOR:  
ECKART GMBH & CO. KG

DOC DATE: 02/08/2008

ASSIGNEE:  
ECKART GMBH  
KAISERSTRASSE 30  
FORTH, GERMANY D-90763

SERIAL NUMBER: 10516670

FILING DATE: 06/20/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: COATING AGENT; METHOD AND COATED SUBSTRATE SURFACE

SERIAL NUMBER: 12063019

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: METAL EFFECT PIGMENTS COMPRISING A MIXED INORGANIC/ORGANIC LAYER, METHOD FOR THE PRODUCTION OF SUCH METAL EFFECT PIGMENTS, AND USE THEREOF

COPY

J. HOFFMANN &amp; BARON, LLP COMPANY: 6900 JERICHO TURNPIKE

020897/0231 PAGE 2

SERIAL NUMBER: 10552116

FILING DATE: 11/02/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: THIN COVERING ALUMINUM PIGMENTS, PROCESS FOR THE PRODUCTION THEREOF, AND USE OF SAID ALUMINUM PIGMENTS

SERIAL NUMBER: 10580120

FILING DATE: 09/11/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: EFFECT PIGMENTS COMPRISING AN ALUMINIUM CORE OR AN ALUMINIUM ALLOY CORE, METHOD FOR THE PRODUCTION AND USE THEREOF

SERIAL NUMBER: 10584691

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: METAL PIGMENTS COMPRISING A CROSS-LINKABLE BINDING AGENT COATING, COATING COMPOSITION, METHOD FOR THE PRODUCTION OF COATED METAL PIGMENTS AND USE THEREOF

SERIAL NUMBER: 10582066

FILING DATE: 03/15/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: COSMETIC PREPARATIONS FOR THE GENERATION OF A COSMETIC COVERING WITH A METALLIC AND MULTI-COLOURED SHIMMERING APPEARANCE AND ARTIFICIAL FINGERNAIL

SERIAL NUMBER: 10584695

FILING DATE: 08/16/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: COLORED METAL PIGMENTS, METHOD FOR THE PRODUCTION THEREOF, USE OF THE COLORED METAL PIGMENTS IN COSMETICS, AND COSMETIC CONTAINING THESE

SERIAL NUMBER: 11628431

FILING DATE: 02/13/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: AQUEOUS COATING COMPOSITION WITH CORROSION RESISTANT THIN-COAT ALUMINIUM PIGMENTS, METHOD FOR PRODUCTION AND USE THEREOF

SERIAL NUMBER: 11213047

FILING DATE: 08/26/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: SiO2 COATED PEARLESCENT PIGMENTS

SERIAL NUMBER: 11213234

FILING DATE: 08/26/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: COATED PEARLESCENT PIGMENTS WITH SiO2 AND CERIUM OXIDE

SERIAL NUMBER: 11574002

FILING DATE: 06/06/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: ELECTRICALLY CONDUCTIVE PIGMENTS HAVING A FERROMAGNETIC CORE, THE PRODUCTION THEREOF, AND THE USE THEREOF

SERIAL NUMBER: 11913001

FILING DATE: 02/13/2008

PATENT NUMBER:

ISSUE DATE:

TITLE: ANODIC ELECTRODEPOSITION PAINT, PRODUCTION AND USE THEREOF, USE OF LAMELLAR METAL PIGMENT, AND COATED OBJECT

SERIAL NUMBER: 11719902

FILING DATE: 07/31/2007

PATENT NUMBER:

ISSUE DATE:

TITLE: DRY TONER, PROCESSES FOR THE PRODUCTION THEREOF, AND THE USE THEREOF